



Appendix A

Certificates, Other Forms, and Permits

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Permits That May Accompany Animal Products, Organisms, and Specimens

PPQ Form 526: Application and Permit to Move Live Plant Pests or Noxious Weeds

Purpose: To permit the controlled movement of plant pests into the United States.

Directions:

1. See that the permit has not expired. If expired, CONTACT PPQ.
2. Make sure that conditions (if any) listed on the permit have been met (these will be listed in Section C). If any condition is unmet, CONTACT PPQ.
3. If all conditions have been met and the permit is current, RELEASE.

Appendix A: Certificates, Other Forms, and Permits
Permits That May Accompany Animal Products, Organisms, and Specimens

No permit can be issued to move live plant pests or noxious weeds until an application is received (7 CFR 330 (live plant pests) or 7 CFR 360 (noxious weeds)).		See reverse side for additional OMB information.		FORM APPROVED OMB NO. 0578-0054	
U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE BIOLOGICAL ASSESSMENT AND TAXONOMIC SUPPORT RIVERDALE, MARYLAND 20737 APPLICATION AND PERMIT TO MOVE LIVE PLANT PESTS OR NOXIOUS WEEDS		SECTION A - TO BE COMPLETED BY THE APPLICANT			
3. TYPE OF PEST TO BE MOVED <input type="checkbox"/> Pathogens <input type="checkbox"/> Arthropods <input type="checkbox"/> Noxious Weeds <input type="checkbox"/> Other (Specify): _____ <small>This permit does not authorize the introduction, importation, interstate movement, or release into the environment of any genetically engineered organisms or products.</small>		1. NAME, TITLE, AND ADDRESS <i>(Include Zip Code)</i> 2. TELEPHONE NO. ()			
A. SCIENTIFIC NAMES OF PESTS TO BE MOVED	B. CLASSIFICATION <small>(Orders, Families, Races, or Strains)</small>	C. LIFE STAGES IF APPLICABLE	D. NO. OF SPECIMENS OR UNITS	E. SHIPPED FROM <small>(Country or State)</small>	F. ARE PESTS ESTABLISHED IN U.S.
G. MAJOR HOST(S) OF THE PEST					
4.					
5.					
6.					
7. WHAT HOST MATERIAL OR SUBSTITUTES WILL ACCOMPANY WHICH PESTS <i>(Indicate by line number)</i>					
8. DESTINATION		9. PORT OF ARRIVAL		10. APPROXIMATE DATE OF ARRIVAL OR INTERSTATE MOVEMENT	
11. NO. OF SHIPMENTS	12. SUPPLIER	13. METHOD OF SHIPMENT <input type="checkbox"/> Air Mail <input type="checkbox"/> Air Freight <input type="checkbox"/> Baggage <input type="checkbox"/> Auto			
14. INTENDED USE <i>(Be specific, attach outline of intended research)</i>					
15. METHODS TO BE USED TO PREVENT PLANT PEST ESCAPE			16. METHOD OF FINAL DISPOSITION		
17. <i>Applicant must be a resident of the U.S.A. I/We agree to comply with the safeguards printed on the reverse of this form, and understand that a permit may be subject to other conditions specified in Sections B and C.</i>			SIGNATURE OF APPLICANT <i>(Must be person named in Item 1)</i>		18. DATE
SECTION B - TO BE COMPLETED BY STATE OFFICIAL					
19. RECOMMENDATION <input type="checkbox"/> Concur (Approve) <input type="checkbox"/> Comments (Disapprove) <input type="checkbox"/> (Accept USDA Decision)		20. CONDITIONS RECOMMENDED			
21. SIGNATURE AND TITLE		22. TITLE		23. STATE	24. DATE
SECTION C - TO BE COMPLETED BY FEDERAL OFFICIAL					
PERMIT					25. PERMIT NO.
(Permit not valid unless signed by an authorized official of the Animal and Plant Health Inspection Service)					
Under authority of the Federal Plant Pest Act of May 23, 1957 or the Federal Noxious Weed Act of 1974, permission is hereby granted to the applicant named above to move the pests described, except as deleted, subject to the conditions stated on, or attached to this application. (See standard conditions on reverse side).					
*For exotic plant pathogens, attach a completed PPQ form 526-1.					
24. SIGNATURE OF PLANT PROTECTION AND QUARANTINE OFFICIAL		25. DATE	26. LABELS ISSUED	27. VALID UNTIL	28. PEST CATEGORY
PPQ FORM 526 <small>Previous edition may be used (SEPT 95)</small>					

FIGURE A-2: PPQ Form 526—Application and Permit to Move Live Plant Pests or Noxious Weeds

VS Form 16-6: United States Veterinary Permit for Importation and Transportation of Controlled Materials and Organisms and Vectors

Purpose:

To permit the importation and controlled movement of these commodities:

- ◆ cultures and organisms or their derivatives which may introduce disease or disseminate any contagious or infectious disease of animals
- ◆ animal derived products

Directions:

1. Inspect for secure packaging. If not secure, CONTACT PPQ.
2. See that permit has not expired. If expired, CONTACT PPQ.
3. See that the shipper and consignee are as specified on the permit. If they're not, CONTACT PPQ.
4. See that the importation description matches the description of material on the shipping documents. If not, CONTACT PPQ.
5. If package is secure, the permit is current, and the shipper and consignee are as specified on the permit, RELEASE.

U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE VETERINARY SERVICES RIVERDALE, MARYLAND 20737 UNITED STATES VETERINARY PERMIT FOR IMPORTATION AND TRANSPORTATION OF CONTROLLED MATERIALS AND ORGANISMS AND VECTORS		PERMIT NUMBER <div style="text-align: center;">TEST3 Research</div>	
NAME AND ADDRESS OF SHIPPER(S) GOTTABEGOOD TOGO CORP 666 HONEST AVENUE DANTE'S INFERNO COLOMBIA		DATE ISSUED 05/25/2000	DATE EXPIRES 05/25/2001
NAME AND ADDRESS OF PERMITTEE INCLUDING ZIP CODE AND TELEPHONE NUMBER TO: K Jan Akin WANNABE, INC. PO BOX 81866 5940 SO 58TH STREET LINCOLN, NE 68516 (402) 434-2300		CC: [send copy/State Veterinarian] AVIC, VS, Lincoln, NE State Veterinarian, NE	
		U.S. PORT(S) OF ARRIVAL NEW YORK, NY	
		MODE OF TRANSPORTATION AIR	

AS REQUESTED IN YOUR APPLICATION YOU ARE AUTHORIZED TO IMPORT OR TRANSPORT THE FOLLOWING MATERIALS

Horse bile (diagnostic testing)

RESTRICTIONS AND PRECAUTIONS FOR TRANSPORTING AND HANDLING MATERIALS AND ALL DERIVATIVES

THIS PERMIT IS ISSUED UNDER AUTHORITY CONTAINED IN 9 CFR CHAPTER 1, PARTS 94, 95 AND 122. THE AUTHORIZED MATERIALS OR THEIR DERIVATIVES SHALL BE USED ONLY IN ACCORDANCE WITH THE RESTRICTIONS AND PRECAUTIONS SPECIFIED BELOW (ALTERATIONS OF RESTRICTIONS CAN BE MADE ONLY WHEN AUTHORIZED BY USDA, APHIS, VS).

- ☐ Adequate safety precautions shall be maintained during shipment and handling to prevent dissemination of disease.
- ☐ *** THIS PERMIT IS INVALID WITHOUT PERMITTEE'S SIGNATURE ***.
 "I, K Jan Akin, certify that this material will be used in accordance
 with all restrictions and precautions as are specified in this permit,
- ☐ *** signed: _____ . " ***
- ☐ Material is to be imported only for diagnostic testing.
- ☐ This permit DOES NOT authorize direct or indirect exposure of or inoculation into laboratory and domestic animals, including poultry, cattle, sheep, swine, horses, etc. Work shall be limited to IN VITRO uses only.
- ☐ Packaging, containers, and all equipment in contact with these materials shall be sterilized or considered a biohazard and be disposed of accordingly.
- ☐ This permit is valid only for work conducted or directed by you in your present facilities. (MATERIALS SHALL NOT BE MOVED TO ANOTHER LOCATION, OR DISTRIBUTED TO OTHERS, WITHOUT USDA AUTHORIZATION.)
- ☐ The restrictions on this permit remain in force as long as the material is in the United States.
- ☐ A copy of this permit should be included with the shipping documents.

TO EXPEDITE CLEARANCES AT THE PORT OF ENTRY, BILL OF LADING, AIRBILL OR OTHER DOCUMENTS ACCOMPANYING THE SHIPMENT SHALL BEAR THE PERMIT NUMBER

SIGNATURE John Damare 	TITLE Senior Staff Microbiologist National Center - Import-Export	NO. LABELS Copy of Permit
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VS FORM 16-6A (MAR 95) Replaces VS Form 16-3A and 16-28 which are obsolete. Page 1 of 1

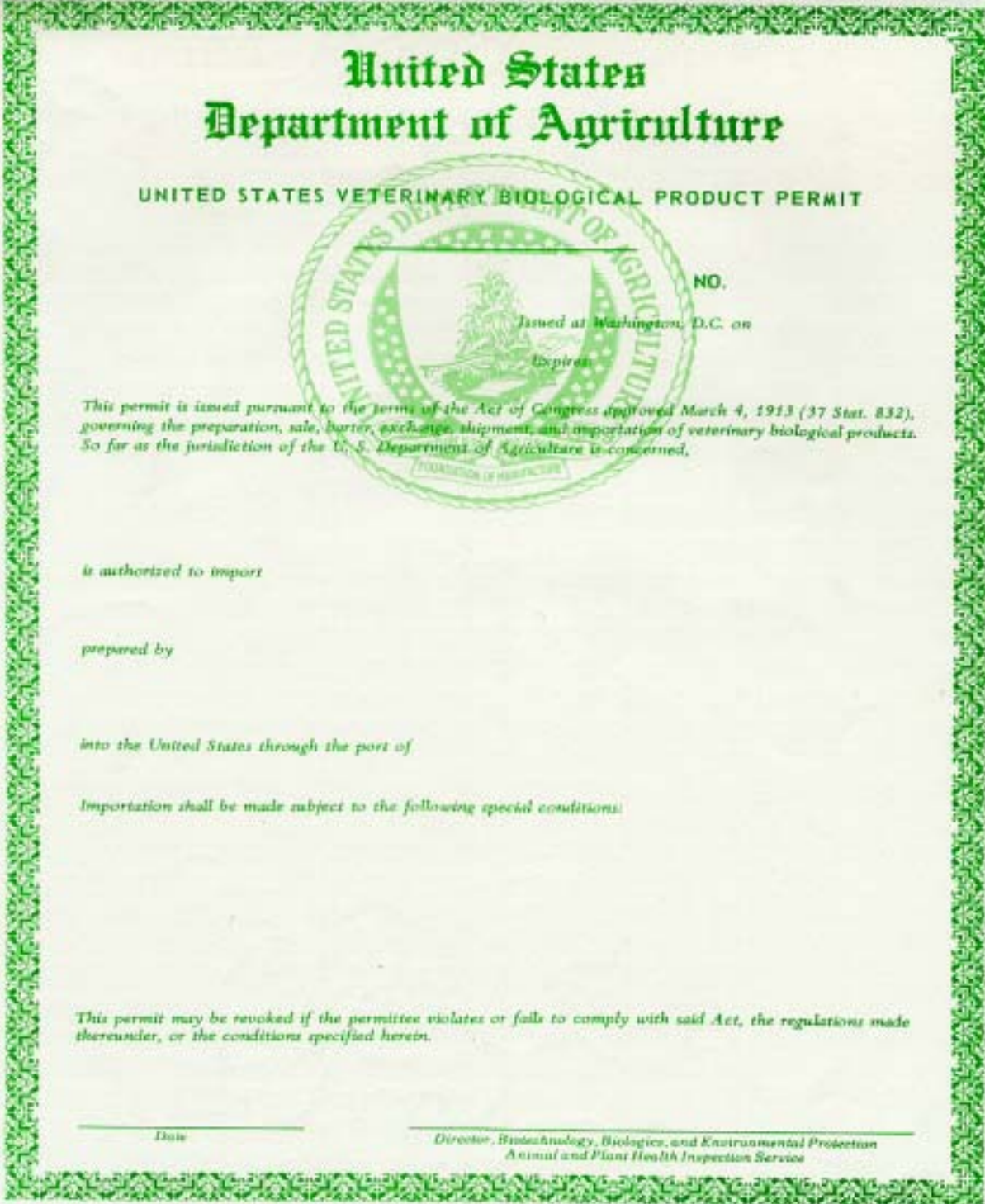
FIGURE A-3: VS Form 16-6—United States Veterinary Permit for Importation and Transportation of Controlled Materials and Organisms and Vectors

APHIS Form 2006: United States Veterinary Biological Product Permit

Purpose: To permit the importation of veterinary diagnostics, viruses, bacteria, toxins, and analogous products into the United States.

Directions:

1. See that the permit has not expired. If expired, CONTACT PPQ.
2. See that the importation description matches the description of the material on the shipping documents. If not, CONTACT PPQ.
3. If the permit is current, and the description on the permit matches the description on the shipping documents, RELEASE.



The image shows a sample of the United States Veterinary Biological Product Permit form (APHIS Form 2006). The form is framed by a decorative green border. At the top, it reads "United States Department of Agriculture" in a large, bold, green serif font. Below this, the title "UNITED STATES VETERINARY BIOLOGICAL PRODUCT PERMIT" is printed in a smaller, green, sans-serif font. In the center, there is a circular seal of the United States Department of Agriculture, featuring an eagle with wings spread, perched on a shield, with the words "UNITED STATES DEPARTMENT OF AGRICULTURE" and "FOUNDED 1889" around it. To the right of the seal, the word "NO." is printed, followed by a blank line for a permit number. Below the seal, the text "Issued at Washington, D.C. on" is printed, followed by a blank line for the date. Further down, the text "Inspired by" is printed, followed by a blank line. A paragraph of text follows: "This permit is issued pursuant to the terms of the Act of Congress approved March 4, 1913 (37 Stat. 832), governing the preparation, sale, barter, exchange, shipment, and importation of veterinary biological products. So far as the jurisdiction of the U. S. Department of Agriculture is concerned,". Below this, the text "is authorized to import" is printed, followed by a blank line. Then, "prepared by" is printed, followed by a blank line. Next, "into the United States through the port of" is printed, followed by a blank line. Below this, the text "Importation shall be made subject to the following special conditions:" is printed, followed by a large blank area for conditions. At the bottom left, the text "This permit may be revoked if the permittee violates or fails to comply with said Act, the regulations made thereunder, or the conditions specified herein." is printed. At the bottom right, there are two lines for signatures: "Date" and "Director, Biotechnology, Biologics, and Environmental Protection Animal and Plant Health Inspection Service". At the very bottom, the text "APHIS FORM 2006 (FEB 89) Replaces VS FORM 14-6 (MAR) which may be used." is printed.

United States
Department of Agriculture

UNITED STATES VETERINARY BIOLOGICAL PRODUCT PERMIT

NO. _____

Issued at Washington, D.C. on _____

Inspired by _____

This permit is issued pursuant to the terms of the Act of Congress approved March 4, 1913 (37 Stat. 832), governing the preparation, sale, barter, exchange, shipment, and importation of veterinary biological products. So far as the jurisdiction of the U. S. Department of Agriculture is concerned,

is authorized to import _____

prepared by _____

into the United States through the port of _____

Importation shall be made subject to the following special conditions:

This permit may be revoked if the permittee violates or fails to comply with said Act, the regulations made thereunder, or the conditions specified herein.

Date

Director, Biotechnology, Biologics, and Environmental Protection
Animal and Plant Health Inspection Service

APHIS FORM 2006 (FEB 89) Replaces VS FORM 14-6 (MAR) which may be used.

FIGURE A-4: APHIS Form 2006—United States Veterinary Biological Product Permit


Certificates That May Accompany Meat and Animal Products

(Reserved)

Certificates That May Accompany Plants and Plant Products

CFIA/ACIA Form 0108: Movement Certificate (Canadian)

- Purpose:** A document issued by the Canadian Food Inspection Agency certifying that articles are permitted to move from quarantine areas. Since the United States and Canada have parallel regulations for the gypsy moth, this certificate is acceptable for the movement of bulk articles (like railroad crossties) and outdoor household effects from Canada into the United States.
- Directions:** If this form declares that “the product is free from gypsy moth,” then you may release bulk articles (like timber and quarry products) and outdoor household effects.

	Government of Canada Canadian Food Inspection Agency	Gouvernement du Canada Agence canadienne d'inspection des aliments	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> Serial No. / N° de série 005937 </div>
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MOVEMENT CERTIFICATE
CERTIFICAT DE CIRCULATION

To / À : _____

the owner or person having the possession, care or control of the thing(s) described herein. /
 le propriétaire ou la personne qui a la possession, la responsabilité ou la charge des soins de la (des) chose(s) décrite(s) ci-dessous.

This Movement Certificate is issued pursuant to section 45 of the Plant Protection Regulations SOR/95-212 and authorizes the movement of the thing(s) located and described herein in accordance with the conditions of movement specified herein. This certificate expires on (date) _____.

Le présent certificat de circulation est émis en vertu de l'article 45 du Règlement sur la protection des végétaux DORS/95-212 et permet la circulation de la (des) chose(s) désignée(s) et décrite(s) ci-dessous en vertu des conditions de circulation spécifiées ci-dessous. Le présent certificat expire le (date) _____.

LOCATION OF THE THING(S) / LIEU DE LA (DES) CHOSE(S) :

Located at / Désignation : _____		
Lot or Quarter / Lot : _____	Range or Concession / Concession ou Rang : _____	
Township or Parish / Canton ou Paroisse : _____	County / Comté : _____	Province : _____

DESCRIPTION OF THING(S) / DESCRIPTION DE LA (DES) CHOSE(S) :

Quantity / Quantité	Article	Identifying Marks / Identification

CONDITIONS OF MOVEMENT / CONDITIONS DE CIRCULATION :

1. A photocopy of this Movement Certificate must accompany each shipment of the things described herein. /
 Une photocopie du Certificat de circulation doit accompagner chaque expédition de la (les) chose(s) ci-dessus.

DELIVERED AT / DÉLIVRÉ OU REMIS À _____ Inspector's Signature / Signature de l'inspecteur _____ Date _____	IN THE PROVINCE OF / DANS LA PROVINCE _____ Inspector's I.D. No. / N° de carte d'insp. _____ Telephone / Téléphone _____
--	--

Some information may be protected or accessible as required under the provisions of the Access to Information Act.
 Certains renseignements peuvent être protégés ou accessibles selon ce que prescrit la Loi sur l'accès à l'information.

White Copy - Owner or person having possession, care or control Copie blanche - Propriétaire/personne qui a la possession, la responsabilité ou la charge	Yellow Copy - Inspector Copie jaune - Inspecteur	Pink Copy - Region or District Copie rose - Administration régionale ou de district
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CFIA / ACIA 0108 (97/02)

Canada

FIGURE A-5: CFIA/ACIA Form 0108—Movement Certificate (Canadian)

CFIA Form 1327: Phytosanitary Certificate (Canadian Origin)

Purpose: A document issued by the Canadian Food Inspection Agency certifying to the phytosanitary condition of plants and unprocessed or unmanufactured plant products.

Directions:

1. Use this form to confirm Canadian origin for plants and produce.
2. Look at the "Additional Declaration" block for statements that are required for you to allow entry (like the importer holds a U.S. permit or the shipment has been treated for a particular pest).



If you detect an alteration on the phytosanitary certificate, **CONTACT PPQ**. Look for erasures, information that was struck through and changed, and the use of white out.




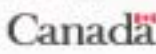
 Government of Canada Canadian Food Inspection Agency		Gouvernement du Canada Agence canadienne d'inspection des aliments	
PHYTOSANITARY CERTIFICATE		CERTIFICAT PHYTOSANITAIRE	
To: Plant Protection Organization of (Country of Destination) (Organisation(s) de la Protection des végétaux de (pays destinataire))		UNITED STATES	
		No. - No. 2000 - 0004 - 0130700	
References - Références			
DESCRIPTION OF CONSIGNMENT - DESCRIPTION DE L'ENVOI			
Name and Address of Exporter - Nom et adresse de l'exportateur J.C. BAKER & SONS LTD. NURSERY 1109 THIRD STREET ST. CATHARINES ONTARIO L2R8P5 CANADA			
Declared Name and Address of Consignee - Nom et adresse déclarée du destinataire NADER ROBERT FARM INC. 11540 ROMEO PLACE MACONN MICHIGAN 48942 UNITED STATES			
Number and Description of Packages - Nombre et nature des colis		Distinguishing Marks - Marque des colis	
Place of Origin - Lieu d'origine CANADA		Port of Loading - Port de chargement	
Declared Means of Conveyance - Moyen de transport déclaré TRUCK		Declared Point of Entry - Point d'entrée déclaré NIAGARA FRONTIER	
Name of Produce and Quantity Declared / (Botanical Name of Plants) - Nom du produit et quantité déclarée / Nom botanique des plantes 250 UNITS (PEPERS) (FRANCS) (Spineae spp.)			
<p><i>This is to certify that the plants or plant products described above have been inspected according to appropriate procedures and are considered to be free from quarantine pests, and principally free from other injurious pests, and that they are considered to conform with the current phytosanitary regulations of the importing country.</i></p> <p><i>Il est certifié que les végétaux ou produits végétaux décrits ci-dessus ont été inspectés selon des procédures adaptées et sont considérés d'être exempts de ravageurs nuisibles par la réglementation phytosanitaire et principalement exempts d'insectes nuisibles dangereux et qu'ils sont jugés conformes à la réglementation phytosanitaire en vigueur dans le pays.</i></p>			
DISINFESTATION AND/OR DESINFESTION TREATMENT - TRAITEMENT DE DESINFESTATION ET/OU DE DESINFECTION			
Date - Date Treatment - Traitement		Duration and Temperature - Durée et température Concentration - Concentration	
Chemical/Active Ingredient - Produit chimique (ingrédiens actifs)		ADDITIONAL DECLARATION - DÉCLARATION ADDITIONNELLE	
Seal - Sceau		THE PLANTS WERE PRODUCED IN COMPLIANCE WITH THE RECOGNIZED GENTLEMANSHIP PLANT PRODUCTION PROGRAM.	
			
Place of Issue - Lieu de délivrance NIAGARA-ON-THE-LAKE, ONTARIO, CANADA		Name of Authorized Officer - Nom du fonctionnaire autorisé Diane Aruere	
Date - Date 2000/07/05		Signature - Signature Diane Aruere	
No liability attached to the Canadian Food Inspection Agency or any of its officers in respect of this certificate.		Aucune responsabilité n'est imposée à l'Agence canadienne d'inspection des aliments ni à aucun de ses agents à l'égard de présent certificat.	
CFIA 1327 (07/04)		<div style="text-align: center;">  </div>	

FIGURE A-6: CFIA Form 1327—Phytosanitary Certificate (Canadian Origin)




CFIA/ACIA Form 1357: Phytosanitary Certificate for Re-export (Canadian)

Purpose: A document issued by the Canadian Food Inspection Agency certifying that plants and unprocessed or unmanufactured plant products re-exported from Canada are considered to conform to the phytosanitary regulations of the importing country and that while in Canada, the material was not subject to infection or infestation.


Directions:

1. Use this form to identify the foreign source (non-Canadian) for plants and produce.
2. Use this form to confirm U.S. origin for U.S. plants being returned from Canada.

Appendix A: Certificates, Other Forms, and Permits
Certificates That May Accompany Plants and Plant Products

	Government of Canada Canadian Food Inspection Agency	Gouvernement du Canada Agence canadienne d'inspection des aliments	PERMIT NO. C-6400	No. / Nr. <div style="border: 1px solid black; padding: 2px; display: inline-block;">Q-00/01-02</div>
	PHYTOSANITARY CERTIFICATE FOR RE-EXPORT <small>Plant protection organization of Canada Kanadischer Pflanzenschutzdienst</small>			
		PFLANZENGESUNDHEITSZEUGNIS FÜR DIE WIEDERAUSFUHR		
		To: Plant protection organization of <u>UNITED STATES</u> (country of destination) An den Pflanzenschutzdienst von _____ (Bestimmungsland)		
DESCRIPTION OF CONSIGNMENT / BESCHREIBUNG DER SENDUNG				
Name and Address of Exporter / Name und Anschrift des Exporteurs <u>Euro Nursery & Vineyard</u> <u>3197 Culp Road, Jordan, Ontario L0R 1S0</u>				
Declared Name and Address of Consignee / Name und Anschrift des Empfängers <u>Lake County Grapevine & Nursery</u> <u>4887 Long Valley Road, Clear Lake Oaks, CA 95423</u>				
Number and Description of Packages Zahl und Beschreibung der Packungen			Distinguishing Marks / Unterscheidungsmerkmale	
Place of Origin / Ursprungsland <u>California, United States</u>		Declared Means of Conveyance Transportmittel laut Zollerklärung <u>Truck</u>		Declared Point of Entry Grenzübergangsstelle laut Zollerklärung
Name of Produce and Quantity Declared Name und deklarierte Menge des Erzeugnisses <u>Rootstock 192,600 Units, Cuttings</u>			Botanical Name of Plants / Botanischer Name von Pflanzen <u>Vitis spp.</u>	
This is to certify that the plants or plant products described above were imported into Canada ... Es wird hiermit bescheinigt, daß die oben beschriebenen Pflanzen oder pflanzlichen Erzeugnisse ...				
from (country of origin) <u>California, United States</u> aus (Ursprungsland)			Covered by Phytosanitary Certificate in Kanada eingeführt worden sind und vom Pflanzengesundheitszeugnis	
020800-1CM & 030200-1CM & 031300-1CM No. _____ Nr. _____			original <input type="checkbox"/> original <input type="checkbox"/>	
they are packed <input type="checkbox"/> daß sei verpackt			repacked <input checked="" type="checkbox"/> umgepackt	
containers, that based on the original Phytosanitary Certificate behältern und daß aufgrund des ursprünglichen Pflanzengesundheitszeugnisses			and additional inspection <input checked="" type="checkbox"/> und einer zusätzlichen Untersuchung	
considered to conform with the current phytosanitary regulations of the importing country, and that during wurde, daß sie den bestehenden Pflanzengesundheitsbestimmungen des Einfuhrlandes entsprechen und daß die Sendung während der Lagerung in Kanada nicht storage in Canada the consignment has not been subjected to the risk of infestation or infection. der Gefahr eines Befalls mit gefährlichen Schädlingen oder Krankheitserregern ausgesetzt war.			of which is attached to this certificate diesem Zeugnis beigelegt ist; in original <input type="checkbox"/> in new <input type="checkbox"/> sind in den ursprünglichen <input type="checkbox"/> in neuen <input type="checkbox"/>	
DISINFESTATION AND/OR DISINFECTION TREATMENT / ENTSEUCHUNGS - BZW. DESINFEKTIONSMETHODE				
Date / Datum		Treatment / Methode		
Chemical (Active Ingredient) / Mittel (Wirkstoff)		Duration and Temperature / Dauer und temperatur		Concentration / Gehalt
Seal / Dienstsiegel		Additional Information / Zusätzliche Angaben		
		Additional Declaration / Zusätzliche Erklärung <u>The requirements in CFR 319.37-5 (b) have been observed.</u>		
		Place of Issue / Ort der Ausstellung <u>Queenston, Ontario</u>		Name of Authorized Officer Name des bevollmächtigten Beamten <u>Bob Dickhout</u>
		Date / Datum <u>April 20, 2000</u>		Signature / Unterschrift 
		* No liability attached to the Canadian Food Inspection Agency or any of its officers in respect of this certificate. * Ausstellung dieses Zeugnisses durch das Kanadische Amt für Nahrungsmittelkontrolle bzw. dessen Beamte erfolgt ohne Gewähr.		

CFIA / ACIA 1357 (97/09)






FIGURE A-7: CFIA/ACIA Form 1357—Phytosanitary Certificate for Re-Export (Canadian)

CFIA/ACIA Form 2401, E2 Certificate (Canadian)

Purpose: A document issued by the Canadian Food Inspection Agency certifying that the shipment meets the requirements of U. S. fresh fruit and vegetable regulations.

Directions: Use this form to allow the entry of tablestock potatoes into the U. S.

Appendix A: Certificates, Other Forms, and Permits
Certificates That May Accompany Plants and Plant Products

Gouvernement du Canada Canadian Food Inspection Agency		Gouvernement du Canada Agence canadienne d'inspection des aliments		CERTIFICATE OF INSPECTION FOR FRESH FRUIT AND VEGETABLES (SHIPPING POINT)		CERTIFICAT D'INSPECTION POUR LES FRUITS ET LÉGUMES FRAIS (POINT D'EXECUTION)		E387417 E2																															
Office use only / À l'usage du bureau seulement				Requested / Demandé Date _____ Time _____		Inspected / Inspecté Date _____ Time _____		Start / Début _____ End / Fin _____																															
Name and Address of Applicant / Nom et adresse du demandeur _____																																							
Shipper / Expéditeur <input type="checkbox"/> Same as Applicant / Même que demandeur																																							
Name and Address of Consignee / Nom et adresse du consignataire <input type="checkbox"/> Same as applicant / Même que demandeur																																							
Marks on packages / Marques sur les emballages _____																																							
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:20%;">Product or Declared Variety Produit ou variété déclarée</th> <th style="width:15%;">Grade Declared Catégorie déclarée</th> <th style="width:20%;">No. and Kind of Pkgs. Nombre et espèce d'emballages</th> <th style="width:15%;">Size of Product Format du produit</th> <th style="width:15%;">Colour Couleur</th> <th style="width:15%;">Maturity Maturité</th> </tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table>										Product or Declared Variety Produit ou variété déclarée	Grade Declared Catégorie déclarée	No. and Kind of Pkgs. Nombre et espèce d'emballages	Size of Product Format du produit	Colour Couleur	Maturity Maturité																								
Product or Declared Variety Produit ou variété déclarée	Grade Declared Catégorie déclarée	No. and Kind of Pkgs. Nombre et espèce d'emballages	Size of Product Format du produit	Colour Couleur	Maturity Maturité																																		
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Car or Truck No / N° du wagon ou du camion _____ Condition of Vehicle, Load / État du véhicule, de la charge _____																																							
Condition of packages and pack / État des emballages et du lot <input type="checkbox"/> In Good Order, Properly Packed / En bon état, emballages convenables																																							
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">Condition</th> <th style="width:50%;">Grade / Catégorie</th> </tr> <tr> <td> <input type="checkbox"/> No Decay in Evidence / Pas de pourriture en évidence <input type="checkbox"/> See Remarks / Voir observations </td> <td> <input type="checkbox"/> Within Tolerance / Dans les limites des tolérances prévues <input type="checkbox"/> See remarks / Voir observations </td> </tr> <tr> <td>Meets the Requirements of the Fresh Fruit and Vegetable Regulations / Répond aux exigences du Règlement sur les fruits et les légumes frais</td> <td>Meets the U.S. Imports Requirements of 7 U.S.C. 608e-1 / Répond aux exigences des É.-U. relatives aux U.S.C. 608e-1</td> </tr> <tr> <td>Fails to Meet the Requirements of the Fresh Fruit and Vegetable Regulations / Ne répond pas aux exigences du Règlement sur les fruits et les légumes frais</td> <td>Movement Authorized Under Section Of the Fresh Fruit and Vegetable Regulations / Mouvement autorisé en vertu de la loi du Règlement des fruits et légumes</td> </tr> </table>										Condition	Grade / Catégorie	<input type="checkbox"/> No Decay in Evidence / Pas de pourriture en évidence <input type="checkbox"/> See Remarks / Voir observations	<input type="checkbox"/> Within Tolerance / Dans les limites des tolérances prévues <input type="checkbox"/> See remarks / Voir observations	Meets the Requirements of the Fresh Fruit and Vegetable Regulations / Répond aux exigences du Règlement sur les fruits et les légumes frais	Meets the U.S. Imports Requirements of 7 U.S.C. 608e-1 / Répond aux exigences des É.-U. relatives aux U.S.C. 608e-1	Fails to Meet the Requirements of the Fresh Fruit and Vegetable Regulations / Ne répond pas aux exigences du Règlement sur les fruits et les légumes frais	Movement Authorized Under Section Of the Fresh Fruit and Vegetable Regulations / Mouvement autorisé en vertu de la loi du Règlement des fruits et légumes																						
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Remarks / Observations _____																																							
I certify that on the date(s) shown on this certificate, I inspected samples believed by me to be representative of the described lot, and that the quality and/or conditions as shown by said samples on said date(s) were as stated. / Je certifie qu'aux dates indiquées sur le présent certificat, j'ai fait l'inspection d'échantillons que je crois représentatifs du lot décrit, et que la qualité et/ou l'état révélés par ces échantillons, aux dates mentionnées étaient conformes aux déclarations.																																							
Inspector's Signature and Printed Name / Signature de l'inspecteur et le nom en lettres moulées _____								Collator / Interclassement _____																															
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:12.5%;">Method of Payment Méthode de paiement</th> <th style="width:12.5%;">1812 SP - C</th> <th style="width:12.5%;">1813 SP - NC</th> <th style="width:12.5%;">1814 EXP - C</th> <th style="width:12.5%;">1815 EXP - NC</th> <th style="width:12.5%;">1819 TRANS</th> <th style="width:12.5%;">1820 C - D</th> <th style="width:12.5%;">1829 AP - EXP</th> <th style="width:12.5%;">1830 AP - DOM</th> </tr> <tr> <td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table>										Method of Payment Méthode de paiement	1812 SP - C	1813 SP - NC	1814 EXP - C	1815 EXP - NC	1819 TRANS	1820 C - D	1829 AP - EXP	1830 AP - DOM																					
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Net Weight / Poids net _____ x \$ _____ = TOTAL \$ _____ + GST \$ _____ = + PST \$ _____ = + HST \$ _____ = TOTAL \$ _____																																							
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td> <input type="checkbox"/> Cheque / Chèque <input type="checkbox"/> Cash / Argent comptant <input type="checkbox"/> or Charge / ou Chargé </td> <td> <input type="checkbox"/> Visa <input type="checkbox"/> MasterCard </td> <td> Invoice Client No. / Facturer le numéro du client _____ Overtime / Heure supplémentaire \$ _____ </td> </tr> </table>										<input type="checkbox"/> Cheque / Chèque <input type="checkbox"/> Cash / Argent comptant <input type="checkbox"/> or Charge / ou Chargé	<input type="checkbox"/> Visa <input type="checkbox"/> MasterCard	Invoice Client No. / Facturer le numéro du client _____ Overtime / Heure supplémentaire \$ _____																											
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Payable to: Receiver General for Canada / Payé à: L'ordre du Receveur général du Canada GST/HST Registration No. / TPS/TVH N° d'enregistrement 121 491 807 RT0522 PST Registration No. (Québec only) / TVQ N° d'enregistrement (seulement Québec) 1020084452 Control-Invoice No. / N° de contrôle-facture _____																																							

FIGURE A-8: CFIA/ACIA Form 2401, E2 Certificate (Canadian)

PPQ Form 577: Phytosanitary Certificate (U.S. Origin)

- Purpose:** A document issued by a Federal or State official certifying to the phytosanitary condition of plants and unprocessed or unmanufactured plant products based on an inspection of the entire shipment or a representative portion of the shipment.
- Directions:** Use this form to confirm U.S. origin for U.S. returned plants and produce.

Appendix A: Certificates, Other Forms, and Permits
Certificates That May Accompany Plants and Plant Products



No phytosanitary certificate can be issued until an application is completed (7 CFR 353)		See reverse for additional OMB information.		FORM APPROVED OMB NO. 0579-0052	
UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE		FOR OFFICIAL USE ONLY			
PHYTOSANITARY CERTIFICATE TO: THE PLANT PROTECTION ORGANIZATION(S) OF		PLACE OF ISSUE			
		NO.: FPC4999701 DATE INSPECTED			
CERTIFICATION					
This is to certify that the plants or plant products described below have been inspected according to appropriate procedures and are considered to be free from quarantine pests, and practically free from other injurious pests; and that they are considered to conform with the current phytosanitary regulations of the importing country.					
DESCRIPTION AND/OR DISINFECTION TREATMENT					
1. DATE			2. TREATMENT		
3. CHEMICAL (active ingredient)			4. DURATION AND TEMPERATURE		
5. CONCENTRATION			6. ADDITIONAL INFORMATION		
DESCRIPTION OF THE CONSIGNMENT					
7. NAME AND ADDRESS OF THE EXPORTER			8. DECLARED NAME AND ADDRESS OF THE CONSIGNEE		
9. NAME OF PRODUCE AND QUANTITY DECLARED			10. BOTANICAL NAME OF PLANTS		
11. NUMBER AND DESCRIPTION OF PACKAGES			12. DISTINGUISHING MARKS		
13. PLACE OF ORIGIN			14. DECLARED MEANS OF CONVEYANCE		
			15. DECLARED POINT OF ENTRY		
WARNING: Any alteration, forgery, or unauthorized use of this phytosanitary certificate is subject to civil penalties of up to \$250,000 (Section 424 of Public Law 106-224) or punishable by a fine of not more than \$10,000, or imprisonment of not more than 5 years, or both (18 U.S.C. Section 1001).					
ADDITIONAL DECLARATION					
					
16. DATE ISSUED		17. NAME OF AUTHORIZED OFFICER (Type or Print)		18. SIGNATURE OF AUTHORIZED OFFICER	
No liability shall attach to the United States Department of Agriculture or to any officer or representative of the Department with respect to this certificate.					
PPQ FORM 577 JULY 2000		PART 1 - SHIPPER'S ORIGINAL			

FIGURE A-9: PPQ Form 577—Phytosanitary Certificate

PPQ Form 597: Import Permit for Plants and Plant Products

Purpose: A document required for restricted plant material entering or transitting the United States.

Directions: On shipments of corn for food or feed, this document confirms that the importer holds a USDA issued permit.

<p align="center"> U.S. DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE 4700 RIVER ROAD, UNIT 136 RIVERDALE, MARYLAND 20737-1236 IMPORT PERMIT FOR PLANTS AND PLANT PRODUCTS </p>	<p>1. PERMIT NUMBER</p> <p align="center"><i>Transmit Permit Number to your Shipper but Retain this Permit</i></p>
<p>3. NAME AND ADDRESS OF PERMITTEE (Include Zip Code) <i>Permittee should notify Plant Protection and Quarantine, APHIS, USDA, promptly of change of address.</i></p> <p>TEL:</p>	<p>2. EXPIRATION DATE</p>
<p>4. UNDER AUTHORITY OF THE PLANT QUARANTINE ACT, AS AMENDED, PERMISSION IS HEREBY GRANTED TO PERMITTEE TO IMPORT IN ACCORDANCE WITH</p>	
<p>5. THE PLANTS OR PLANT PRODUCTS SPECIFIED BELOW, GROWN OR PRODUCED IN</p>	
<p>6. THROUGH THE PORT(S) OF</p>	
<p>7. DESIGNATION OF PLANTS OR PLANT PRODUCTS</p>	
<p>This permit does not authorize the importation of any genetically engineered plants or products thereof. To import such plants (or to move them interstate), write to the Biotechnology Biologics and Environmental Protection, Biotechnology Permit, USDA, APHIS, 4700 River Road, Unit 149, Riverdale, Maryland 20737-1237.</p>	
<p>8. SIGNATURE OF AUTHORIZING OFFICIAL</p>	<p>9. DATE ISSUED</p>
<p>ENCLOSURES</p>	
<p>PPQ FORM 597 (APR 95) Previous edition may be used. ☆U.S. GPO: 1999-717-967</p>	

FIGURE A-10: PPQ Form 597—Import Permit for Plants and Plant Products

PPQ Form 925: Seed Analysis Certificate (SAC)

Purpose: A document used for Canadian-grown seed destined for the United States.

Directions: Check to make sure that the SAC is issued by an accredited laboratory (refer to [Appendix D](#)). If the SAC states that the seed does **not** meet FSA requirements, check to make sure that the seed is consigned to an approved facility (see [Appendix D](#)).

Appendix A: Certificates, Other Forms, and Permits
Certificates That May Accompany Plants and Plant Products

FORM APPROVED: According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information is 0579-0124. The time required to complete this information is estimated to average 50 hours (or minutes) per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information. If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Agriculture, Clearance Officer, Stop 7802, 1400 Independence Ave., SW., Washington, DC 20250-7802.		
SEED ANALYSIS CERTIFICATE (For Canadian-grown seed destined for the United States)		CERTIFICATE NUMBER
1. NAME AND ADDRESS OF SENDER		2. DATE ISSUED
		3. LABORATORY NUMBER
SENDER'S INFORMATION (The information is that of the sender and not of the laboratory)		
4. ORIGIN	5. KIND	6. VARIETY
7. SCIENTIFIC NAME	8. LOT DESIGNATION	9. SIZE OF LOT
10. TREATMENT		11. SAMPLE TAKEN BY
12. OTHER INFORMATION		
LABORATORY DETERMINATION (To be used for labeling)		
13. COMMON NAME(S) OF KINDS IN EXCESS OF 5% OF THE WHOLE (as recognized in Federal Seed Act regulations)		14. SCIENTIFIC NAME(S)
15. INDICATE IF SEED IS, OR WILL BE: <input type="checkbox"/> Pelleted <input type="checkbox"/> Coated <input type="checkbox"/> Treated (Indicate labeling)		
17. SCIENTIFIC NAME AND NUMBER OF EACH KIND OF NOXIOUS WEED		
18. "X" ONE <input type="checkbox"/> I certify that this lot meets the noxious weed requirements of the Federal Seed Act. OR <input type="checkbox"/> This lot contains noxious weeds beyond tolerance and may be imported into the United States only if consigned to an approved facility for cleaning.		
19. NAME AND ADDRESS OF ISSUING LABORATORY		20. NAME AND TITLE OF AUTHORIZING OFFICIAL
		21. SIGNATURE
PPQ FORM 925 AUG 97		
*U.S. GPO: 2000-516-661/94535 ORIGINAL - APHIS COPY		

FIGURE A-11: PPQ Form 925: Seed Analysis Certificate (SAC)

Forms to Use When Seizing Agricultural Products

Leaflet: Notice to Arriving Traveler

- Purpose:** A leaflet that explains to the traveler why agricultural items must be seized.
- Directions:** Give this leaflet to the traveler whenever you seize an article or have it returned to Canada.
- Availability:** Order this leaflet from your local PPQ contact. The leaflet is available in several languages so be prepared to tell your contact what languages you need. The leaflet reproduced on the adjacent is in English and French. Feel free to photocopy this leaflet.

NOTICE TO ARRIVING TRAVELER

We regret that it is necessary to take agricultural items from your baggage. They cannot be brought into the United States because they sometimes carry plant and animal pests and diseases. Restricted items include fruits, vegetables, meats, plants, soil, and products made from plant or animal materials.

Agricultural pests and diseases are a threat to U.S. food crops and livestock. Some of these organisms are natural “polluters” capable of upsetting local ecological balances by destroying trees and other plant life. They also can affect property values by damaging lawns, ornamental plants, and (in the case of termites and other wood-eating insects) homes.

Confiscated items are carefully destroyed in special facilities of the U.S. Department of Agriculture. If you have any questions, write to: “Quarantines,” U.S. Department of Agriculture, P. O. Box 96464, Washington, DC 20090-6464.

To file a complaint, write the Secretary of Agriculture, U. S. Department of Agriculture, Washington, DC 20250, or call (202) 720-7327 (voice) or (202) 720-1127 (TDD). USDA is an equal employment opportunity employer.

Nous regrettons la necessite d'avoir a supprimer les produits agricoles de vos bagages. Ils ne peuvent pas etre introduits aux Etats-Unis parce qu'ils transportent parfois des insectes nuisibles aux plantes et aux animaux. Les articles sujets a ces restrictions comprennent fruits, legumes, viandes, plantes, terre, et produits fabriques avec des substances vegetales ou animales.

Les insectes et les maladies agricoles representent une menace pour les recoltes vivrieres, les plantes ornementales, et le cheptel des Etats-Unis. Certains de ces organismes bouleversent les balances naturelles en detruisant les arbres et autres flores. Ils peuvent aussi reduire la valeur des proprietes en endommageant les pelouses, les plantes ornementales, et meme les maisons—lorsque les termites et autres insectes detruisent le bois.

Les articles confisques sont soigneusement detruits dans des locaux speciaux du Ministere de l'agriculture des Etats-Unis. Si vous avez une question quelconque, ecrivez au bureaua “Quarantines,” U.S. Department of Agriculture, P. O. Box 96464, Washington, DC 20090-6464.

Forms to Use When Seizing Agricultural Products

PPQ Form 216: Cooperators Agriculture Products Monthly Seizure Record

- Purpose:** A form on which to record agricultural products seized and the number of flights boarded.
- Directions:**
1. Use this form to tally the number of articles seized for each of the five categories.
 2. For meats, enter the number of pounds seized.
 3. Tally your “scores” at the end of the month and send to your PPQ contact.
- Availability:** Request additional forms from your PPQ contact.

Send original or copy of this record to designated PPQ port before the 10th of the month following the period covered.

U.S. DEPARTMENT OF AGRICULTURE ANIMAL PLANT HEALTH INSPECTION SERVICE PLANT PROTECTION AND QUARANTINE COOPERATORS AGRICULTURE PRODUCTS MONTHLY SEIZURE RECORD		
LOCATION		MONTH
PLANT MATERIAL AND/OR PLANT PRODUCT SEIZURES		MONTHLY TOTAL
Citrus Fruit (non-U.S. Brand):		
House Plants (Uncertified)	Refused Entry:	
	Confiscated and Destroyed:	
Nursery Stock:		
Other Plant Material:		
ANIMAL PRODUCTS AND/OR MEAT SEIZURES		
Number of Seizures:		
Number of Pounds:		
NUMBER OF FLIGHTS CLEARED (or precleared):		
REMARKS		SEND TO:

PPQ FORM 216
(JUL 78)

FIGURE A-12: PPQ Form 216—Cooperators Agriculture Products Monthly Seizure Record

Forms to Use When Sampling Agricultural Products

PPQ Form 237: Record of Seed Offered for Importation Under the Federal Seed Act

Purpose:

A form that notifies consignees that their seed has been sampled and forwarded to USDA for examination. The form warns the consignee that the seed must be held intact subject to redelivery to Customs if demanded.

Directions:

1. Complete Section 1 of this form for each lot of seed.
2. Put the completed pink copy (Part 3) of the form and declaration of labeling (copy of the label and invoice) into the cloth bag.
3. Secure the outer cloth bag by tying the mailing tag.
4. Send the sample with its completed form by **PRIORITY MAIL** to:

Seed Examination Facility
PPQ-APHIS-USDA
Building 580 BARC-E
Beltsville, MD 20705

5. Give the completed yellow copy (Part 4) of the form to the consignee or the consignee's agent.
6. Mail the remaining white copies (Parts 1 and 2) of the completed form to the SEF in a separate envelope. Mail these copies the same day you send the sample—but separate from the sample.

Availability:

Request additional forms, bags, and tags from the address listed above.

RECORD OF SEED OFFERED FOR IMPORTATION UNDER THE FEDERAL SEED ACT	UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE AND TREASURY DEPARTMENT UNITED STATES CUSTOMS SERVICE	SERIAL NUMBER F 46412		
INSTRUCTIONS TO SAMPLING OFFICER: Use a separate form for each lot of seed. Send Parts 1 and 2 (white) intact with carbons by letter mail to: U.S. Dept. of Agriculture, APHIS, PPQ, Seed Examination Facility, Bldg. 580 BARC-EAST, Beltsville, MD 20705. Insert Part 3 (pink) into container with the seed sample; send Part 4 (yellow) to the ultimate consignee. For regulations pertaining to the Federal Seed Act, see TD-50071 and amendments.				
SECTION I - TO BE COMPLETED BY SAMPLING OFFICER				
COMPLETE NAME AND ADDRESS OF ULTIMATE CONSIGNEE (stay within brackets) <div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 80%;"> BEE SEEDS 204 1ST ST S BROOKINGS SD 57006 </div>	PORT OF ENTRY PEMBINA ND ENTRY NO. 144-8937633-6 DATE OF ENTRY 11/15/00			
DESCRIPTION OF SEED				
KIND AND VARIETY SHOWN ON CONTAINER TALL WHEATGRASS	KIND AND VARIETY SHOWN ON INVOICE TALL WHEATGRASS			
DISTINGUISHING MARK OR LOT NO. CM05	NO. BAGS IN LOT 840	NET WEIGHT OF LOT 42,000 lb		
COUNTRY OF ORIGIN ARGENTINA				
DECLARED FOR ("X" one) <input checked="" type="checkbox"/> SEEDING PURPOSES <input type="checkbox"/> OTHER THAN SEEDING PURPOSES	ENTERED VALUE OF LOT \$ 37,800.00	SHIPPED BY ("X" one) <input checked="" type="checkbox"/> TRUCK <input type="checkbox"/> VESSEL (give name) <input type="checkbox"/> AIR (give aircraft no.) <input type="checkbox"/> RAIL		
COMPLETE NAME AND ADDRESS OF BROKER (stay within brackets) <div style="border: 1px solid black; padding: 5px; margin: 10px auto; width: 80%;"> NORMAN G JENSEN INC BOX 479 PEMBINA ND 58271 </div>		This lot of seed has been sampled under the Federal Seed Act, and the information above verified. SIGNATURE OF SAMPLING OFFICER <table style="width: 100%;"> <tr> <td style="width: 50%;">AGENCY US CUSTOMS SERVICE</td> <td style="width: 50%;">DATE 11/19/00</td> </tr> </table>	AGENCY US CUSTOMS SERVICE	DATE 11/19/00
AGENCY US CUSTOMS SERVICE	DATE 11/19/00			
SECTION II - ACTION BY U.S. DEPARTMENT OF AGRICULTURE, APHIS, PPQ SEED EXAMINATION FACILITY				
<input type="checkbox"/> The lot of seed described above has been admitted into the commerce of the United States under the provisions of the Federal Seed Act and the regulations for its enforcement. This notice does not constitute a confirmation of the variety name shown above (if any), since the variety is not distinguishable by seed characteristics.				
SIGNATURE OF AUTHORIZING OFFICIAL		DATE		
<input type="checkbox"/> The lot of seed described above has been refused admission under the Federal Seed Act:				
SIGNATURE OF AUTHORIZING OFFICIAL		DATE		
PPQ FORM 237 (MAY 86) <i>Previous edition may be used.</i>				
PART 1 - TO SEED TESTING FACILITY				
PART 3 - INSERT IN CONTAINER WITH SEED SAMPLE				

FIGURE A-13: PPQ Form 237—Record of Seed Offered for Importation Under the Federal Seed Act

